

## Subrecipient Commitment Form

This form has two sections. **All Subrecipients must complete Section A.** Section B is only for Subrecipients **who are not a participating organization of the FDP Expanded Clearinghouse.** If a specific item does not apply to your institution, please denote this by marking it as N/A. Ensure that all required sections of this form are fully completed before submission.

Is the Subrecipient a participating organization of the FDP Expanded Clearinghouse?

Yes	URL link to Subrecipient’s FDP profile: Complete <b>SECTION A</b> of this form.
No	Complete both <b>SECTION A</b> and <b>SECTION B</b> of this form.

### SECTION A – to be completed by all Subrecipients

**Project Use Information:**

Subrecipient (Sub) Legal Name:		Pass-Through Entity (PTE) Legal Name:	
Sub UEI:		PTE UEI:	
Sub Principal Investigator:		PTE Principal Investigator:	
Sub Internal Project Identifier (optional):		PTE Internal Project Identifier:	
Project Title:			
Prime Awarding Agency:		Estimated Project Period:	
Total Proposed Amount:		Cost Sharing Amount:	

**Administrators:**

Sub Admin Name/Title:		PTE Admin Name/Title:	
Sub Admin Phone:		PTE Admin Phone:	
Sub Admin Email:		PTE Admin Email:	
Sub Email for Awards (if different from above):			

**Senior/Key Personnel on Project:** (if more than 4 Senior/Key Persons, include requested information in Comments box)

Full Name:		Role on Project:		Email Address:	
Full Name:		Role on Project:		Email Address:	
Full Name:		Role on Project:		Email Address:	
Full Name:		Role on Project:		Email Address:	

**Compliance:**

Human Subjects:	Yes	No	Vertebrate Subjects:	Yes	No
Biologics/Biological samples:	Yes	No	Hazardous Materials or Chemicals:	Yes	No
Foreign Entity Involvement/Foreign Travel/Export Control:	Yes	No	Radioactive Materials, X-rays, Lasers, or Radioisotopes:	Yes	No

## Subrecipient Commitment Form

**Facilities & Administrative rate:**

	Sub's federally negotiated F&A rate for this type of work is:
	15% de minimis rate.
	Other (please explain):

**Certifications:**

**Conflict of Interest**

Active COI:    Yes    No

By indicating YES above, Subrecipient Institution certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F “Responsibility of Applicants for Promoting Objectivity in Research.” Subrecipient Institution also certifies that, to the best of Subrecipient Institution’s knowledge, (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and, (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with subrecipient’s conflict of interest policy prior to the expenditures of any funds under any resultant agreement.

If Subrecipient does not have an active and/or enforced conflict of interest policy, Subrecipient agrees to abide by UNT’s policy, located online at <https://policy.unt.edu/policy/13-005>.

**Debarment and Suspension**

Is the Subrecipient PI/Co-PI or any other employee or student participating in this project debarred, suspended, or otherwise excluded from or ineligible for participation in federal assistance programs or activities? If yes, please explain in Comments box.

Yes    No

The Subrecipient certifies they:

Are    Are Not    presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts.

Are    Are Not    presently indicted for, or otherwise criminally or civilly charged by a government entity.

Have    Have Not    within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract of subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property.

Have    Have Not    within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency.

**Certification Regarding Responsible and Ethical Conduct of Research (For NSF, or other programs requiring Ethics in Research Training):**

Yes    No    N/A    Subrecipient has a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduate students, graduate students, postdoctoral scholars, faculty, and other senior/key personnel who will be supported by NSF to conduct research and that such training addresses mentor training and mentorship.

## Subrecipient Commitment Form

**Research Security Training (if required by the Prime Awarding Agency):**

Yes No N/A Senior/Key Personnel team has successfully completed the required Research Security Training within the past 12 months, in accordance with Section 10634 of the CHIPS and Science Act of 2022 (H.R. 4346) and will maintain current training, as applicable to sponsor guidelines.

**Restriction on Malign Foreign Talent Recruitment Programs (In accordance with Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232)):**

Yes No All individuals designated as Senior/Key personnel have certified that they are not a party to a malign foreign talent recruitment program.

**Comments:**

***The following documents are included in subrecipient subaward proposal submission:***

Sub Statement of Work	Sub Budget Justification
Sub Detailed Line Item Budget	Sub F&A Rate Agreement
Senior/Key Personnel documents	Other:

*This proposal has been reviewed and approved by an authorized official of the Subrecipient, and the Subrecipient certifies that the information provided is true, accurate, and complete to the best of its knowledge. The Subrecipient's programmatic and administrative personnel involved in this application are aware of, and will comply with, the prime awarding agency's applicable policies (including conflict of interest requirements), and all applicable award terms, conditions, and required certifications. The Subrecipient is prepared to enter into an appropriate agreement with the pass-through entity consistent with those requirements and agrees to permit the pass-through entity, its auditors, and any other authorized representatives, access to the Subrecipient's records, documents, and financial statements as necessary for audit, examination, monitoring, and other oversight purposes, in accordance with applicable law and award requirements. The Subrecipient further certifies that all members of the project team have completed all required research security training and are in compliance with applicable federal, sponsor, and institutional research security requirements, including any ongoing training and disclosure obligations, as a condition of participation in the project.*

\_\_\_\_\_  
*Signature of Subrecipient's Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Name and Title of Authorized Official*

\_\_\_\_\_  
*Email Address*

## Subrecipient Commitment Form

**SECTION B – to be completed by Subrecipients that are NOT participating organizations of the FDP Clearinghouse.**

**System for Award Management (SAM):**

According to [2 CFR 25.300](#), Subrecipients must provide the PTE with a Unique Entity ID (UEI) as issued by the Federal Government through SAM.gov. Additionally, all Subrecipients are obligated to keep their information in SAM.gov up to date for the duration of the subaward.

Is the Subrecipient currently registered in SAM.gov?

Yes	Subrecipient’s UEI:	Expiration Date:
No	Sub is required to register at <a href="http://www.sam.gov">www.sam.gov</a> and <i>will provide PTE with UEI prior to execution of the subaward.</i>	

**Audit Status:**

Does the Sub receive an annual audit in accordance with [2 CFR 200 Subpart F](#)?

Yes	Has the audit been completed for the most recent fiscal year?	Yes    No
	Attach a copy of the most recent audit, OR provide an internet URL link to a complete copy:	
	Were there any audit findings reported?	Yes    No
	Did any findings relate to any subaward(s) from the University of North Texas?	Yes    No
No	The Sub will receive the <i>UNT Subrecipient Questionnaire and must return a completed Questionnaire before negotiating the subaward.</i>	

**Subrecipient Business Address:**

Name:	
Address:	
City, State, Zip Code:	
EIN:	
Congressional District:	

\_\_\_\_\_  
*Signature of Subrecipient's Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Name and Title of Authorized Official*

\_\_\_\_\_  
*Email Address*