



Regulations and Policies That Apply to UNT Sponsored Projects and Research Contracting

Federal Regulations

- 2 CFR 200 – Uniform Guidance ([OMB](#)): Administrative requirements, cost principles, audit requirements. Compliance is required throughout the life of the award.
- Federal Acquisition Regulation ([FAR](#)): Applies to federal contracts. Establishes uniform policies and procedures for acquiring goods and services, ensuring that procurement is conducted fairly, transparently, and in the public's best interest.
- Cost Accounting Standards ([CAS](#)): Ensures consistency and transparency in how institutions handle costs related to federal contracts and grants. Must be followed during budget development and financial reporting.
- Export Control Regulations ([EAR](#), [ITAR](#)): Safeguard national security and U.S. foreign policy by regulating the transfer of sensitive technologies, data, and equipment to foreign entities or individuals. These regulations must be reviewed and addressed before starting any international collaboration or shipping controlled items.
- Human Subjects Protection ([45 CFR 46](#)): IRB compliance for research involving people. IRB protocol must be approved before research begins.
- Animal Welfare Regulations ([9 CFR](#)): For research involving animals. IACUC protocol must be approved before research begins.

State of Texas Requirements

- [Texas Education Code](#): Governs public higher education institutions.
- [Texas Government Code](#): Includes procurement and contracting rules.
- [Texas Comptroller Guidelines](#): Financial and purchasing compliance.
- [Texas Public Information Act](#): Transparency and records access. Records must be retained and accessible in accordance with UNT System Policy and the Texas Government Code retention schedules.

UNT Policies

- [UNT System Board of Regents Rules](#): Governance and oversight of contracts and research.
- [UNT Policy - Chapter 13, Research and Innovation](#): UNT sponsored research policies.
- [Grants & Contracts Administration \(GCA\) Guidelines](#): Proposal development, contracting, and award management.
- [Cost Principles Policy](#): Costs must be allowable, allocable, reasonable, and consistently managed.
- [Effort Reporting Policy](#): Accurate documentation of time spent on sponsored projects.
- [Conflict of Interest Policy](#): Disclosure and management of financial interests.
- [Research Intellectual Property Policy](#): Ownership and commercialization of research outputs.



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